

09/19/01

09-20-01

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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 09792909-5187

First Named Inventor or Applicant Identifier

Shuzo Sat

Express Mail Label No: EL834557452US

 ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ACCOMPANYING APPLICATION PARTS

1. ☒ Specification Total Pages 147  
 2. ☒ Drawing(s) (35USC 113) Total Pages 33  
 3. ☒ Declaration and Power of Attorney Total Pages 3

- a. ☒ Unexecuted(original or copy)  
 b. ☐ Copy from prior application (37CFR 1.63(d))  
 (for continuation/divisional with Box 14 completed)

[Note Box 4 Below]

### I. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

- ☐ Incorporation By Reference (usable if Box 3b is checked)  
 The entire disclosure of the prior application, from which copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

5. ☐ Assignment Papers (cover sheet & documentation)  
 6. ☒ Letter under 37 CFR 1.41(c).  
 7. ☐ English Translation Document to follow (if applicable)  
 8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations  
 9. ☒ Preliminary Amendment  
 10. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  
 11. ☐ Small Entity ☐ Statement filed in prior Application, Status still proper and desired  
 12. ☒ Certified copy of Japanese priority document No. P2000-284260 filed September 19, 2000.

### 4. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No:

### CLAIMS AS FILED

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE
TOTAL CLAIMS	91	71	\$18.00	1,278.00
INDEPENDENT CLAIMS	6	3	80.00	240.00
ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			270.00	0.00
			TOTAL FEE	\$2,228.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$2,228.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal  
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David R. Metzger (Reg. No. 32,919)

Date: September 19, 2001

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September 19, 2001

Assistant Commissioner of Patents  
Washington, D.C. 20231

RE: New U.S. Application for Letters Patent entitled  
"POLISHING METHOD, POLISHING APPARATUS, PLATING METHOD,  
AND PLATING APPARATUS"  
Applicant(s) Shuzo Sato, et al.  
Attorney Docket No. 09792909-5187

Dear Sir:

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application,  
including 91 claims (6 independent), 33 sheets of drawings (Figs. 1A-39) and the filing fee of  
\$2,228.00 on behalf of

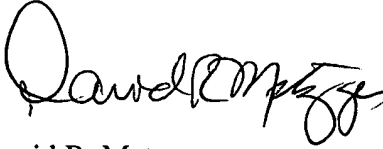
SHUZO SATO, YUJI SEGAWA, AKIRA YOSHIO and  
TAKESHI NOGAMI

and request that the application be assigned a serial number and filing date pursuant to the  
provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL

By:

  
David R. Metzger

DVM:kat  
Enclosures

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

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Date of Deposit: September 19, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Applicant(s): Shuzo Sato, Yuji Segawa, Akira Yoshio and Takeshi Nogami

Attorney Docket No. 09792909-5187

\$2,228.00 filing fee (91 claims - 6 independent)

Drawings (33 sheets - Figs. 1A-39)

Unexecuted Declaration

Letter Under 37 C.F.R. §1.41(c)

Preliminary Amendment

Certified copy of Japanese priority document No. P2000-284260

Return postcard

  
Signature of Person Mailing Application and Fees